



ALLIANCE INTEGRATED METALIKS LIMITED

Regd. Office : 5th Floor, Unit No 506, Building No 57, Manjusha Building,
Nehru Place, New Delhi - 110019

Tel.: +91-11-40517610, **E-mail :** alliance.intgd@rediffmail.com **Web :** www.aiml.in
CIN : L65993DL1989PLC035409

Ref. No.: AIML/BSE/2022-23

Date: May 29, 2022

To

The Manager
Listing Department
BSE Limited,
Phiroze Jee Jee Bhoy Towers,
Dalal Street, Mumbai – 400001

Scrip code: 534064

Sub: Annual Secretarial Compliance Report for the financial year ended 31st March, 2022

Dear Sir/Madam,

Pursuant to Regulation 24A of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, as amended, read with SEBI Circular no. CIR/CFD/CMD1/27/2019 dated 8th February 2019, Please find enclosed herewith the Annual Secretarial Compliance Report issued by Mr. Sachin Khurana, Proprietor of M/s S. Khurana & Associates, Practicing Company Secretaries, for the financial year ended March 31, 2022.

This is for your information and records.

Thanking you,

For **ALLIANCE INTEGRATED METALIKS LIMITED**


Saurabh Kumar Jain
Company Secretary



Encl :a/a

S. Khurana & Associates

Company Secretaries

*Secretarial Compliance Report of
ALLIANCE INTEGRATED METALIKS LIMITED
for the financial year ended March 31, 2022*

Company Name : Alliance Integrated Metaliks Limited
CIN : L65993DL1989PLC035409
Registered Office Address : 5th Floor, Unit No. 506, Building No. 57, Manjusha Building
Nehru Place, New Delhi - 110019
Listed on : BSE Limited (“BSE”)

I Sachin Khurana, Practicing Company Secretary have examined:

- The documents and records made available to us and explanation provided by **ALLIANCE INTEGRATED METALIKS LIMITED** (“the listed entity”),
- The filings/ submissions made by the listed entity to the stock exchanges,
- Website of the listed entity,
- Any other document/ filing, as may be relevant, which has been relied upon to make this certification,

for the year ended **March 31, 2022** (“Review Period”) in respect of compliance with the provisions of:

- The Securities and Exchange Board of India Act, 1992 (“SEBI Act”) and the Regulations, circulars, guidelines issued thereunder; and
- The Securities Contracts (Regulation) Act, 1956 (“SCRA”), rules made thereunder and the Regulations, circulars, guidelines issued thereunder by the Securities and Exchange Board of India (“SEBI”);

The specific Regulations, whose provisions and the circulars/ guidelines issued thereunder, have been examined, include: -

- Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015;
- Securities and Exchange Board of India (Issue of Capital and Disclosure Requirements) Regulations, 2018; **Not Applicable as the Company has not issued any security during the financial year under review.**
- Securities and Exchange Board of India (Substantial Acquisition of Shares and Takeovers) Regulations, 2011;
- Securities and Exchange Board of India (Buyback of Securities) Regulations, 2018; **Not Applicable as the Company has not bought back any of its securities during the financial year under review.**



- e) Securities and Exchange Board of India (Share Based Employee Benefits) Regulations, 2014 (upto August 12, 2021) and 21 (with effect from August 13, 2021); **Not Applicable as the Company has not granted any options to its employees during the financial year under review**
- f) Securities and Exchange Board of India (Issue and Listing of Debt Securities) Regulations, 2008; **Not Applicable as the Company has not issued / listed any Debt Security**
- g) Securities and Exchange Board of India (Issue and Listing of Non- Convertible and Redeemable Preference Shares) Regulations, 2013; **Not Applicable**
- h) Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015;

I hereby report that, during the Review Period:

- a) The listed entity has complied with the provisions of the above Regulations and circulars/ guidelines issued thereunder, except in respect of matters specified below: -

Sr. No	Compliance Requirement (Regulations/Circulars/guideline including specific clause)	Deviations	Remarks / Observations of the Practicing Company Secretary
1.	Regulation 33 – Non-Submission of Financial Results within prescribed timelines	Delayed Submission for Quarter ended March 2021 by 14 days	Stock Exchange imposed fine of INR 82,600/- including GST @18%. Further, BSE, vide email dated August 06, 2021, confirmed the waiver of the said fine so imposed and advised to exercise caution and stricter compliance of Regulations.
2.	Regulation 29(2)/29(3) – Delay in furnishing prior intimation about Board Meeting	Delay in intimating Stock Exchange about Board Meeting held on November 13, 2021 by 3 days	Stock Exchange imposed fine of INR 11,800/- including GST @18%. Further, the Company has made the waiver application to BSE for the said delay.
3.	Regulation 30 – Outcome of Board Meeting	Outcome of Board Meeting held on July 14, 2021 was intimated with a delay of 26 minutes	Outcome of Board Meeting was submitted with delay. However, Company has not received any notice from stock exchange(s) for such deviation.
4.	Regulation 30 – Outcome of Board Meeting	Outcome of Board Meeting held on August 11, 2021 was intimated with a delay of 19 minutes	Outcome of Board Meeting was submitted with delay. However, Company has not received any notice from stock exchange(s) for such deviation.
5.	Regulation 30 – Outcome of Board Meeting	Outcome of Board Meeting held on November 13, 2021 was intimated with a delay of 36 Minutes.	Outcome of Board Meeting was submitted with delay. However, Company has not received any notice from stock exchange(s) for such deviation.



6.	Regulation 30 – Outcome of Board Meeting	Outcome of Board Meeting held on February 14, 2022 was intimated with a delay of 24 Minutes.	Outcome of Board Meeting was submitted with delay. However, Company has not received any notice from stock exchange(s) for such deviation.
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(b) The listed entity has generally maintained records under the provisions of the above Regulations and circulars/ guidelines issued thereunder insofar as it appears from my examination of those records.

(c) The following are the details of actions taken against the listed entity/ its promoters/ directors/ material subsidiaries either by SEBI or by Stock Exchanges (*including under the Standard Operating Procedures issued by SEBI through various circulars*) under the aforesaid Acts/ Regulations and circulars/ guidelines issued thereunder:

Sr. No.	Action taken by	Details of violation	Details of action taken e.g., fines, warning letter, debarment, etc.	Observations/ remarks of the Practicing Company Secretary, if any.
NIL				

(d) The listed entity has taken the following actions to comply with the observations made in previous reports:

Sr. No	Observation of Practicing Company Secretary in previous report(s)	Observation made in the Secretarial Compliance Report for the year ended March 31, 2021	Actions taken by the listed entity	Remarks of the Practicing Company Secretary
1	Regulation 40(10) – Simultaneous Intimation to Stock Exchange(s)	Delayed Submission	Certificate was submitted to Stock Exchange on May 29, 2020	As confirmed by the Company, no notice has been received from stock exchange for the reported delay. Further the Company is advised to exercise caution while filing to Stock Exchange and stricter compliance of Regulations.



2	Regulation 30 – Disclosure of events	Delay Submission	Outcome of the 3 rd Board Meeting was intimation to Stock Exchange(s) with a delay of five (5) minutes.	As confirmed by the Company, no notice has been received from stock exchange for the reported delay. Further the Company is advised to exercise caution while filing to Stock Exchange and stricter compliance of Regulations.
3	Regulation 47(3) – Newspaper publication and intimation to Stock Exchange(s)	Delay Submission	Financial Statements for the Quarter ended June 30, 2020 were approved on November 11, 2020, newspaper publication was made on November 12, 2020 and intimation to Stock Exchange(s) was made on November 18, 2020.	As confirmed by the Company, no notice has been received from stock exchange for the reported delay. Further the Company is advised to exercise caution while filing to Stock Exchange and stricter compliance of Regulations.
4	Regulation 33(3)(a) – Financial Results	Delay Submission	Financial Results for the Quarter and year ended March 31, 2020 was submitted to Stock Exchange(s) on September 23, 2020, extension was provided upto July 31, 2020.	Fine of Rs. 3,06,800/- was imposed for delayed filing of 52 days. The said fine was paid by the company on October 9, 2020 and November 13, 2020. BSE, vide email dated August 06, 2021, confirmed the waiver of the said fine so imposed and advised to exercise caution and stricter compliance of Regulations
5	Regulation 33(3)(a) – Financial Results	Delay Submission	Financial Results for the Quarter ended June 30, 2020 was submitted to Stock Exchange(s) on November 11, 2020, extension was provided upto September 15, 2020.	Fine of Rs. 3,36,300/- was imposed for delayed filing of 57 days. The said fine was paid by the company on November 13, 2020 and December 02, 2020. BSE, vide email dated November 09, 2021, waived of the partially fine of Rs. 75,000 and advised to exercise caution and stricter compliance of Regulations



6	Regulation 33(3)(a) – Financial Results	Delay Submission	Financial Results for the Quarter and Half Year ended September 30, 2020 was submitted to Stock Exchange(s) on February 26, 2021, due date was upto November 14, 2020.	Fine of Rs. 5,95,900/- was imposed for delayed filing of 101 days. The said fine was paid by the company on March 5, 2021.
7	Regulation 33(3)(a) – Financial Results	Delay Submission	Financial Results for the Quarter and Nine Months ended December 31, 2020 was submitted to Stock Exchange(s) on April 13, 2021, due date was upto February 14, 2021.	Fine of Rs. 3,36,300/- was imposed for delayed filing. The said fine was paid by the company on August 02, 2021.

**For S. Khurana & Associates
Company Secretaries
FRN – I2014DE1158200**

Peer Review No.: 8017028


Sachin Khurana
Proprietor

M. No.: F10098; CP No.:13212
UDIN: F010098D000421841



**Date: May 29, 2022
Place: New Delhi**